

STATE WATER RESOURCES CONTROL BOARD
RESOLUTION NO. 92-06

ADOPTION OF REVISED APPENDIX
TO CONFLICT OF INTEREST CODE

WHEREAS:

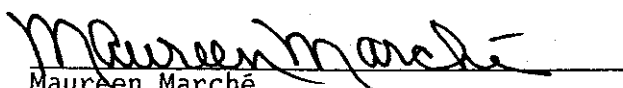
1. The Political Reform Act, Government Code Section 81000 and following, requires all state agencies to adopt a Conflict of Interest Code containing a list of designated positions within the agency and, for each position, a list of the types of economic interests which must be reported.
2. Government Code Section 87306 requires all state agencies to review their Conflict of Interest Code every two years and to revise the Code if necessary.
3. The State Board's Conflict of Interest Code was last amended in 1987. The Appendix to the Code, which contains the State Board's list of designated positions and corresponding disclosure categories for the State and Regional Boards, is substantially out of date.
4. A revised Appendix was, therefore, prepared and circulated for comment for a 60-day comment period.
5. The revised Appendix was amended in response to the comments which were received.

THEREFORE BE IT RESOLVED THAT:

The revised Appendix is adopted, and the Executive Director is authorized to transmit it to the Fair Political Practices Commission for approval.

CERTIFICATION

The undersigned, Administrative Assistant to the Board, does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the State Water Resources Control Board held on January 23, 1992.


Maureen Marché
Administrative Assistant to the Board

DECLARATION OF WALT PETTIT

EXECUTIVE DIRECTOR

STATE WATER RESOURCES CONTROL BOARD

ADOPTION OF PROPOSED REVISIONS TO THE CONFLICT OF INTEREST CODE

The Conflict of Interest Code of the State Water Resources Control Board, with proposed amendments, specifically enumerates each of the positions within the State Board and the nine Regional Boards which involve the making or participation in the making of decisions which may foreseeably have a material financial effect on any financial interest. The State Board has satisfied all of the requirements of Title 2, Division 6 of the California Code of Regulations, Section 18750(c) preliminary to approval of the proposed Code.

Walt Pettit 1-28-92

WALT PETTIT
Executive Director

Date: **JAN 27 1992**

EXPLANATION OF REASONS FOR
AMENDMENTS TO THE CONFLICT OF INTEREST CODE
OF THE STATE WATER RESOURCES CONTROL BOARD

State Water Resources Control Board

A. Executive Office

1. The position of Labor Relations Officer is deleted because the position was moved to Division of Administrative Services.
2. The following positions have been added because new positions have been created:

Chief, Policy Development

Senior Engineer, Clean Water Strategy

B. Division of Water Quality

1. Separate designations of branch chiefs have been combined into the single designation of All Branch Chiefs because all branch chiefs are designated and have the same disclosure category.
2. Separate designations of section supervisors have been combined into the single designation of All Section Supervisors because all section supervisors are designated and have the same disclosure categories.

C. Division of Administrative Services

1. The Business Services Office and the Contracts Office have been renamed the Contracts and Business Management Sections. The designations for these two Offices have been combined into Professional Staff, Contracts and Business Management Sections.
2. The positions of Supervisors, Data Management Section have been added because new positions have been created which include decisions involving acquisition of computer equipment, supplies and services.
3. A new position of Assistant Division Chief has been created.
4. The position of Chief, Administrative Services and Management Analysis Branch has been created.
5. Disclosure category number 8 was added for those employees who make or influence decisions regarding acquisition of computer equipment, supplies, or services.

D. Division of Water Rights

1. New working titles have been created and old working titles eliminated because of redistribution of responsibilities within the Division. The following working titles have been eliminated:

Chief, Application Section

Chief, Hearing Section

Chief, License Section

The following new working titles have been created:

Chief, Application and Hearing Section

Chief, Complaint Section

Chief, Investigation and Compliance Section

E. Division of Clean Water Programs

1. The Division name has been changed from the Division of Loans and Grants to the Division of Clean Water Programs, because of programs which were transferred to this division from the Division of Water Quality.

2. Because of the expanded responsibilities transferred from the Division of Water Quality the Division Chief disclosure category has been changed to category 1.

3. Because of the expanded responsibilities transferred from the Division of Water Quality, the Principal Engineer, Program Support Branch disclosure category has been changed to category 1.

4. The working title Supervisor, Operations Section has been renamed to Supervisor, Operations and Design Review.

5. The following working titles have been eliminated:

Supervisors, Facilities Planning Section A and B

Supervisor, Project Eligibility Review Section

Supervisor, Wastewater Training

Supervisor, Technical Support Section

6. The following new working titles have been created:

Supervisor, Facilities Planning Section

Supervisor, Program Support Section

Supervisor, Management Support Section

7. The following new positions have been created due to transfer of responsibilities from the Division of Water Quality:

Supervisor, Underground Storage Tank Section

Supervisor, Land Disposal Section

Supervisor, Underground Tank Cleanup Fund

F. Office of Legislative and Public Affairs

1. The position of Information Officer has been eliminated because comments indicate that this person has no decision making responsibility.

Regional Water Quality Control Board, Region 1

1. The existing working title of Surveillance and Monitoring Supervisor has been renamed Surveillance and Monitoring and Planning Supervisor.
2. The position of Land Disposal Supervisor is added because a new position has been created.
3. The position of Regional Administrative Officer has been added because the position involves authority for acquisition of services, supplies, and equipment for the Regional Board.

Regional Water Quality Control Board, Region 2

1. Separate designations of division chiefs have been combined into a single designation of All Division Chiefs because all division chiefs are designated and have the same disclosure category. Due to redistribution of responsibilities among the divisions, individual working titles within this designation have been changed, but no changes which have been made would affect designation or disclosure category.
2. Separate designations of section leaders have been combined into a single designation of All Section Leaders because all section leaders are designated and have the same disclosure category. Due to redistribution of responsibilities among the divisions, individual working titles within this designation have been changed, but no changes which have been made would affect designation or disclosure category.

3. The position of Regional Administrative Officer has been added because the position involves authority to acquire services, supplies, and equipment for the Regional Board.

Regional Water Quality Control Board, Region 3

1. Separate designations of branch chiefs have been combined into a single designation of All Branch Chiefs because all branch chiefs are designated and have the same disclosure category. Minor name changes have been made to the individual working titles within the designation, but there have been no substantial changes.
2. The position of Regional Administrative Officer has been added because the position involves authority to acquire services, supplies, and equipment for the Regional Board.

Regional Water Quality Control Board, Region 4

1. The following positions have been added because new positions have been created:

Chief, Santa Monica Bay Project

Environmental Specialist III, Santa Monica Bay Project

Unit Chief, Technical Support

2. Separate designations of section chiefs have been combined into a single designation of All Section Chiefs because all section chiefs are designated and have the same disclosure category. Due to redistribution of responsibilities among the sections, individual working titles within this designation have been changed, but no changes which have been made would affect designation or disclosure category.
3. Separate designations of unit chiefs have been combined into a single designation of All Unit Chiefs because all unit chiefs are designated and have the same disclosure category. Due to redistribution of responsibilities among the units, individual working titles within this designation have been changed, but no changes which have been made would affect designation or disclosure category. One new unit chief position has been created and it is listed in paragraph 1 for this Regional Board.
4. The position of Regional Administrative Officer has been added because the position involves authority to acquire services, supplies, and equipment for the Regional Board.

Regional Water Quality Control Board, Region 5

1. The incorrect designation of Supervisor, Fresno Office, has been corrected to Principal Engineer, Fresno Office.
2. Separate designations of section supervisors have been combined into a single designation of All Section Supervisors because all section supervisors are designated and have the same disclosure category. Due to redistribution of responsibilities among the sections, individual working titles within this designation have been changed, but no changes which have been made would affect designation or disclosure category.
3. Separate designations of unit chiefs have been combined into a single designation of All Unit Seniors because all unit chiefs are designated and have the same disclosure category. Due to redistribution of responsibilities among the units, individual working titles within this designation have been changed, but no changes which have been made would affect designation or disclosure category.
4. The positions of Regional Administrative Officer and Business Services Officer have been added because the positions involve authority to acquire services, supplies, and equipment for the Regional Board.
5. The positions of All Non-supervising Seniors was added because comments from the Regional Board indicate that employees holding these positions have significant decision making authority.

Regional Water Quality Control Board, Region 6

1. The following positions have been eliminated:
 - Supervisor, Lake Tahoe Office
 - Chief, Special Projects and Investigations, Lake Tahoe Office
 - Chief, Plans and Policy Branch, Lake Tahoe Office
2. Separate designations of section chiefs have been combined into a single designation of All Section Chiefs because all section chiefs are designated and have the same disclosure category. Due to redistribution of responsibilities among the sections, individual working titles within this designation have been changed, but no changes which have been made would affect designation or disclosure category.
3. The following new position has been created:
 - Environmental Specialist IV (Specialist), Lake Tahoe Office

4. The position of Regional Administrative Officer has been added because the position involves authority to acquire services, supplies, and equipment for the Regional Board.

Regional Water Quality Control Board, Region 7

1. Separate designations of branch chiefs have been combined into a single designation of All Branch Chiefs because all branch chiefs are designated and have the same disclosure category. Due to redistribution of responsibilities among the branches, individual working titles within this designation have been changed, but no changes which have been made would affect designation or disclosure category.
2. The position of Regional Administrative Officer has been added because the position involves authority to acquire services, supplies, and equipment for the Regional Board.

Regional Water Quality Control Board, Region 8

1. Separate designations of section chiefs have been combined into a single designation of All Section Chiefs because all section chiefs are designated and have the same disclosure category. Due to redistribution of responsibilities among the sections, individual working titles within this designation have been changed, but no changes which have been made would affect designation or disclosure category.
2. The position of Environmental Program Manager is a newly created position.
3. The position of Regional Administrative Officer has been added because the position involves authority to acquire services, supplies, and equipment for the Regional Board.

Regional Water Quality Control Board, Region 9

1. Separate designations of unit chiefs have been combined into a single designation of All Unit Chiefs because all unit chiefs are designated and have the same disclosure category. There have been no changes in the individual working titles within this designation. There has been a new position created, Chief, Land Disposal/Site Investigation.
2. The designation of all professional staff has been deleted because it is overbroad. All professional staff of a rank below unit chief report to a unit chief and so do not directly influence the decision makers (the Regional Board and the Executive Officer). No other Regional Board has such a broad disclosure designation even though some have a similar organizational structure.

3. The position of Chief, Special Investigations and Assignments has been specified because the current designation of All Staff, Special Investigations and Assignments has been narrowed.
4. The position of Chief, San Diego Bay Cleanup Project is a newly created position.
5. The position of Regional Administrative Officer has been added because the position involves authority to acquire services, supplies, and equipment for the Regional Board.

Disclosure Categories

1. Disclosure categories 5, 6, and 7 were combined into one category.
2. A new disclosure category, number 8, is added to cover those employees who make or influence decisions regarding acquisition of computer equipment, supplies, or services.