

Attachment D
Report and Notification Requirements

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I. Reports and Notification Requirements

A. Copies of this form

In order to identify your project, it is necessary to include a copy of the Project specific Cover Sheet below with your report; please retain for your records. If you need to obtain a copy of the Cover Sheet, you may download a copy of this Order as follows:

1. [State Water Quality Control Board's Adopted Orders Web page](https://www.waterboards.ca.gov/water_issues/programs/cwa401/certifications.html/)
(https://www.waterboards.ca.gov/water_issues/programs/cwa401/certifications.html/)
2. Find your Order based on the Applicant, Date, Subject headers.

II. Report Submittal Instructions

B. Check the box on the Report and Notification Cover Sheet next to the report or notification you are submitting. (See your Order for specific reports required for your Project.)

- **Part A (Annual Report):** This report will be submitted annually from the anniversary of the date of Order issuance, until a Notice of Project Complete Letter is issued.
- **Part B (Project Status Notifications):** Used to notify the Water Board of the status of the Project schedule that may affect Project billing.
- **Part C (Conditional Notifications and Reports):** Required on a case by case basis for accidental discharges of hazardous materials, violation of compliance with water quality standards, notification of in-water work, or other reports.

C. Sign the Report and Notification Cover Sheet and attach all information requested for the Report Type.

D. Electronic Report Submittal Instructions:

- Submit signed Report and Notification Cover Sheet and required information via email to: stateboard401@waterboards.ca.gov and cc: Alexander.Lopez@waterboards.ca.gov
- Include in the subject line of the email:

ATTN: Alex Lopez and Reg Measure ID 406212 Report

III. Definition of Reporting Terms

A. Active Discharge Period:

The active discharge period begins with the effective date of this Order and ends on the date that the Permittee receives a Notice of Completion of Discharges Letter or, if no post-construction monitoring is required, a Notice of Project Complete Letter. The Active Discharge Period includes all elements of the Project, including site construction.

B. Request for Notice of Project Complete Letter:

This request by the Permittee to the Water Board staff pertains to projects that either have completed post-construction monitoring and achieved performance standards or have no post-construction monitoring requirements, and no further Project activities are planned. Water Board staff will review the request and send a Project Complete Letter to the Permittee upon approval. Termination of annual invoicing of fees will correspond with the date of this letter.

C. Post-Discharge Monitoring Period:

The post-discharge monitoring period begins on the date of the Notice of Completion of Discharges Letter and ends on the date of the Notice of Project Complete Letter issued by the Water Board staff. The Post-Discharge Monitoring Period includes continued water quality monitoring or compensatory mitigation monitoring.

D. Effective Date:

(Date of Order issuance) 2 December 2022

IV. Map/Photo Documentation Information

When submitting maps or photos, please use the following formats.

A. Map Format Information:

Preferred map formats of at least 1:24000 (1" = 2000') detail (listed in order of preference):

- **GIS shapefiles:** The shapefiles must depict the boundaries of all project areas and extent of aquatic resources impacted. Each shape should be attributed with the extent/type of aquatic resources impacted. Features and boundaries should be accurate to within 33 feet (10 meters). Identify datum/projection used and if possible, provide map with a North American Datum of 1983 (NAD83) in the California Teale Albers projection in feet.
- **Google KML files** saved from Google Maps: My Maps or Google Earth Pro. Maps must show the boundaries of all project areas and extent/type of aquatic resources impacted. Include URL(s) of maps. If this format is used

- include a spreadsheet with the object ID and attributed with the extent/type of aquatic resources impacted.
- **Other electronic format** (CAD or illustration format) that provides a context for location (inclusion of landmarks, known structures, geographic coordinates, or USGS DRG or DOQQ). Maps must show the boundaries of all project areas and extent/type of aquatic resources impacted. If this format is used include a spreadsheet with the object ID and attributed with the extent/type of aquatic resources impacted.
 - Aquatic resource maps marked on paper **USGS 7.5 minute topographic maps** or **Digital Orthophoto Quarter Quads (DOQQ)** printouts. Maps must show the boundaries of all project areas and extent/type of aquatic resources impacted. If this format is used include a spreadsheet with the object ID and attributed with the extent/type of aquatic resources impacted.

B. Photo-Documentation:

Include a unique identifier, date stamp, written description of photo details, and latitude/longitude (in decimal degrees) or map indicating location of photo. Successive photos should be taken from the same vantage point to compare pre/post construction conditions.

Report and Notification Cover Sheet

Project: Murrieta Hills
Permittee: Pulte Homes
WDID/File Number: SB16005IN
Reg. Meas. ID: 406212
Place ID: 824622
Order Effective Date: 2 December 2022

Report Type Submitted

A. Part A – Project Reporting

Report Type 1 Annual Report

B. Part B – Project Status Notifications

Report Type 2 Commencement of Construction

Report Type 3 Request for Notice of Completion of Discharges Letter

Report Type 4 Request for Notice of Project Complete Letter

C. Part C – Conditional Notifications and Reports

Report Type 5 Accidental Discharge of Hazardous Material Report

Report Type 6 Violation of Compliance with Water Quality Standards Report

Report Type 7 Modifications to Project Report

Report Type 8 Transfer of Property Ownership Report

Report Type 9 Transfer of Long-Term BMP Maintenance Report

“I certify under penalty of law that I have personally examined and am familiar with the information submitted in this document and all attachments and that, based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment.”

Print Name¹ **Affiliation and Job Title**

Signature **Date**

¹STATEMENT OF AUTHORIZATION (include if authorization has changed since application was submitted)

I hereby authorize _____ to act in my behalf as my representative in the submittal of this report, and to furnish upon request, supplemental information in support of this submittal.

Permittee’s Signature **Date**

***This Report and Notification Cover Sheet must be signed by the Permittee or a duly authorized representative and included with all written submittals.**

V. Project Reporting - Report Content

D. Annual Report

1. **Report Purpose** - Notify the Water Board staff of Project status during both the active discharge and post-discharge monitoring periods.
2. **When to Submit** - Annual reports shall be submitted each year on December 2. Annual reports shall continue until a Notice of Project Complete Letter is issued to the Permittee.
3. **Report Contents** - The contents of the annual report shall include the topics indicated below for each project period. Report contents are outlined in Annual Report Topics below.

During the Active Discharge Period

- **Topic 1: Construction Summary**
- **Topic 2: Mitigation for Temporary Impacts Status**

During the Post-Discharge Monitoring Period

- **Topic 2: Mitigation for Temporary Impacts Status**

a. Annual Report Topic 1 - Construction Summary

When to Submit - With the annual report during the Active Discharge Period.

Report Contents - Project progress and schedule including initial ground disturbance, site clearing and grubbing, road construction, site construction, and the implementation status of construction storm water best management practices (BMPs). If construction has not started, provide estimated start date and reasons for delay.

- i. Map showing general Project progress.
- ii. Summary of Conditional Notification and Report Types 6 and 7 (Part C below), if applicable.
- iii. Summary of Order Deviations. See Order Deviation Attachment for further information, if applicable.

b. Annual Report Topic 2 - Mitigation for Temporary Impacts Status

When to Submit - With the annual report during both the Active Discharge Period and Post-Discharge Monitoring Period.

Report Contents -

- i. Planned date of initiation and map showing locations of mitigation for temporary impacts to waters of the state and all upland areas of temporary disturbance which could result in a discharge to waters of the state.

- ii. If mitigation for temporary impacts has already commenced, provide a map and information concerning attainment of performance standards contained in the restoration plan.

E. Project Status Notifications

1. Report Type 2 - Commencement of Construction

- a. **Report Purpose** - Notify Water Board staff prior to the start of construction.
- b. **When to Submit** - Must be received at least seven (7) days prior to start of initial ground disturbance activities.
- c. **Report Contents** -
 - i. Date of commencement of construction.
 - ii. Anticipated date when discharges to waters of the state will occur.
 - iii. Project schedule milestones including a schedule for onsite compensatory mitigation, if applicable.
 - iv. Construction Storm Water General Permit WDID No.
 - v. Proof of purchase of compensatory mitigation for permanent impacts from the mitigation bank or in-lieu fee program, if applicable.

2. Report Type 3 - Request for Notice of Completion of Discharges Letter

- a. **Report Purpose** - Notify Water Board staff that post-construction monitoring is required and that active Project construction, including any mitigation, is complete.
- b. **When to Submit** - Must be received by Water Board staff within thirty (30) days following completion of all Project construction activities.
- c. **Report Contents** -
 - i. Status of storm water Notice of Termination(s), if applicable.
 - ii. Status of post-construction storm water BMP installation.
 - iii. Pre- and post-photo documentation of all Project activity sites where the discharge of dredge and/or fill/excavation was authorized.
 - iv. Summary of Order Deviation discharge quantities compared to initial authorized impacts to waters of the state, if applicable.
 - v. An updated monitoring schedule for mitigation for temporary impacts to waters of the state.

3. Report Type 4 - Request for Notice of Project Complete Letter

- a. **Report Purpose** - Notify Water Board staff that construction and/or any post-construction monitoring is complete, or is not required, and no further Project activity is planned.
- b. **When to Submit** - Must be received by Water Board staff within thirty (30) days following completion of all Project activities.
- c. **Report Contents** -

- i. Part A: Mitigation for Temporary Impacts
 - A report establishing that the performance standards outlined in the restoration plan have been met for Project site upland areas of temporary disturbance which could result in a discharge to waters of the state.
 - A report establishing that the performance standards outlined in the restoration plan have been met for restored areas of temporary impacts to waters of the state. Pre- and post-photo documentation of all restoration sites.
- ii. Part B: Permittee Responsible Compensatory Mitigation
 - A report establishing that the performance standards outlined in the compensatory mitigation plan have been met.
 - Status on the implementation of the long-term maintenance and management plan and funding of endowment.
 - Pre- and post-photo documentation of all compensatory mitigation sites.
 - Final maps of all compensatory mitigation areas (including buffers).
- iii. Part C: Post-Construction Storm Water BMPs
 - Date of storm water Notice of Termination(s), if applicable.
 - Report status and functionality of all post-construction BMPs.

F. Conditional Notifications and Reports

1. Report Type 5 - Accidental Discharge of Hazardous Material Report

- a. **Report Purpose** - Notifies Water Board staff that an accidental discharge of hazardous material has occurred.
- b. **When to Submit** - Within five (5) working days following the date of an accidental discharge. Continue reporting as required by Water Board staff.
- c. **Report Contents** -
 - i. The report shall include the OES Incident/Assessment Form, a full description and map of the accidental discharge incident (i.e. location, time and date, source, discharge constituent and quantity, aerial extent, and photo documentation). If applicable, the OES Written Follow-Up Report may be substituted.
 - ii. If applicable, any required sampling data, a full description of the sampling methods including frequency/dates and times of sampling, equipment, locations of sampling sites.
 - iii. Locations and construction specifications of any barriers, including silt curtains or diverting structures, and any associated trenching or anchoring.

2. Report Type 6 - Violation of Compliance with Water Quality Standards Report

- a. **Report Purpose** - Notifies Water Board staff that a violation of compliance with water quality standards has occurred.
- b. **When to Submit** - The Permittee shall report any event that causes a violation of water quality standards within three (3) working days of the noncompliance event notification to Water Board staff.
- c. **Report Contents** - The report shall include: the cause; the location shown on a map; and the period of the noncompliance including exact dates and times. If the noncompliance has not been corrected, include: the anticipated time it is expected to continue; the steps taken or planned to reduce, eliminate, and prevent reoccurrence of the noncompliance; and any monitoring results if required by Water Board staff.

3. Report Type 7 - Modifications to Project Report

- a. **Report Purpose** - Notifies Water Board staff if the Project, as described in the application materials, is altered in any way or by the imposition of subsequent permit conditions by any local, state or federal regulatory authority.
- b. **When to Submit** - If Project implementation as described in the application materials is altered in any way or by the imposition of subsequent permit conditions by any local, state or federal regulatory authority.

- c. **Report Contents** - A description and location of any alterations to Project implementation. Identification of any Project modifications that will interfere with the Permittee's compliance with the Order.

4. **Report Type 8 - Transfer of Property Ownership Report**

- a. **Report Purpose** - Notifies Water Board staff of change in ownership of the Project.
- b. **When to Submit** - At least 10 working days prior to the transfer of ownership.
- c. **Report Contents** -
 - i. A statement that the Permittee has provided the purchaser with a copy of this Order and that the purchaser understands and accepts:
 - the Order's requirements and the obligation to implement them or be subject to administrative and/or civil liability for failure to do so; and
 - responsibility for compliance with any long-term BMP maintenance plan requirements in this Order. Best Management Practices (BMPs) is a term used to describe a type of water pollution or environmental control.
 - ii. A statement that the Permittee has informed the purchaser to submit a written request to the Water Board to be named as the permittee in a revised order.

5. **Report Type 9 - Transfer of Long-Term BMP Maintenance Report**

- a. **Report Purpose** - Notifies Water Board staff of transfer of long-term BMP maintenance responsibility.
- b. **When to Submit** - At least 10 working days prior to the transfer of BMP maintenance responsibility.
- c. **Report Contents** - A copy of the legal document transferring maintenance responsibility of post-construction BMPs.