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Contrasts in habitat characteristics and life history patterns of steelhead trout in California’s central coast and Central Valley

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Suggested running head: Life history patterns in California steelhead

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Abstract

Steelhead trout *Oncorhynchus mykiss* exhibit high plasticity in life history patterns, with fish emigrating to the ocean at a broad range of ages or remaining in freshwater as residents and maturing at varying ages. Individual life history decisions are hypothesized to result from the interaction of genetic thresholds shaped by local adaptation with variation in environmental factors influencing growth and condition trajectories. We compared growth rates and life history patterns in two coastal creeks (Scott and Soquel) and two Central Valley rivers (American and Mokelumne) in California. The two regions differed markedly in habitat and physical factors. Growth rates of age-0 fish averaged approximately 0.1 mm/d in the summer/fall and 0.2 mm/d in the winter/spring. In the Central Valley, growth rates were up to 10 times faster than on the coast and differed in seasonality, with faster growth in the summer/fall than in the winter/spring. Growth also differed between rivers, with means on the American River of 1.0 mm/d in the summer/fall and 0.7 mm/d in the winter/spring and means on the Mokelumne River of 0.7 mm/d in the summer/fall and 0.5 mm/d in the winter/spring. Life history expression and age structures in the coastal creeks were similar, with populations dominated by age-0 fish, but also including residents up to age 6. The two Central Valley populations were strikingly different in life history expression. On the American River, a single cohort was present, with nearly all fish emigrating in the spring following their birth year. On the Mokelumne River, a broad diversity of ages was present, with a large proportion of presumed residents. The observed variation in life histories aligned with predictions based on state dependent life history models developed for the four streams, providing further demonstration of the adaptability of *O. mykiss* to contrasting rearing environments.

Introduction

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Steelhead rainbow trout *Oncorhynchus mykiss* exhibit a remarkable diversity of life histories. At the end of their first year, they follow three possible trajectories: smolt transformation and emigration to the ocean, remaining in freshwater as immature parr, or maturation. Each year following the first year, multiple pathways are again possible, such as emigration or continued freshwater residence (Behnke 2002). Some individuals never emigrate and are identified as rainbow trout, the non-anadromous form of *O. mykiss*, whereas the anadromous form is identified as steelhead. Hereafter we refer to all forms as steelhead for brevity. In contrast to other Pacific salmonids, steelhead are iteroparous and may spawn over several years, returning to the ocean between spawnings. This plasticity in life history is presumed to confer resilience to the population in the face of a variable environment (Via et al. 1995; Greene et al. 2010; Schindler et al. 2010). Understanding the conditions that lead to adoption of different life history strategies and how they vary in subsequent contribution to the adult population is essential for reversing ongoing declines in steelhead abundance. In addition, an improved understanding of how individuals arrive at a particular life history pathway will improve our ability to monitor and predict effects of changing or restored environments on steelhead populations.

Extensive studies on life history plasticity in salmonids have contributed to a general theory to explain underlying mechanisms (Mangel 1994; Thorpe et al. 1998; Rikardsen et al. 2004; Mangel and Satterthwaite 2008; Piche et al. 2008; Satterthwaite et al. 2009; 2010). Individual state (e.g., size, growth rate, lipid content) at various developmental time steps plays a key role in shaping life history decisions such as smolt transformation and emigration. These decision windows occur well in advance of the transition itself (Mangel 1994; Thorpe et al. 1998). The pathway exhibited by an individual is presumed to be the consequence of an interaction between genetic thresholds and the environmental context; i.e., the genetic program is cued by the environment. Within this framework, the threshold state determining a decision is predicted to vary as a consequence of local adaptation. Steelhead have a particularly broad geographic range, occurring from southern California, USA, throughout the North Pacific to Kamchatka, Russia, and thus are exposed to a broad suite of environmental conditions. Local adaptation of steelhead appears to be extensive; within California high levels of genetic

79 differentiation among stream systems have been observed along the coast (Garza et al. 2004) as
80 well as in the Central Valley (Nielsen et al. 2005).

81 For purposes of conservation, steelhead in California have been assigned to six Distinct
82 Population Segments (DPSs), with boundary delineations based primarily upon biogeographic
83 and genetic considerations (Busby et al. 1996); all but one DPS are currently listed as either
84 threatened or endangered under the Endangered Species Act. Ecological differences among the
85 DPSs are not well described. Ideally, a recovery plan should be tailored to population-level
86 criteria within each DPS, taking into account specific biological characteristics and differences in
87 the inherent productive capacities of the habitats that may underlie these biological differences
88 (Spence et al. 2008). In most cases, however, such population-specific information is not
89 currently available. Providing tools to more clearly describe the relationships of life history
90 patterns with environmental conditions within contrasting DPSs will help managers make
91 informed decisions on the identification and protection of key factors that influence the
92 persistence of steelhead populations.

93 In this study, we examined steelhead ecology in four stream systems, two creeks in the
94 California Central Coast DPS (Scott and Soquel) and two rivers in the Central Valley DPS
95 (American and Mokelumne). We focused on estimation of growth rates, which are hypothesized
96 to play a major role in determining life history pathways, and the patterns of life history
97 expression within each stream, potentially a function of local adaptation to large differences in
98 the rearing environment. Although prior studies have documented basic ecology of the two
99 coastal systems (Hayes et al. 2008; Sogard et al. 2009), limited published information is
100 available for Central Valley steelhead populations. McEwan (2001) provides a thorough review
101 of distribution and abundance, potential factors involved in population declines, and
102 management concerns for steelhead in the Central Valley. However, explicit comparisons
103 among geographic locations have not been made, nor have explicit comparisons been made
104 between seasons within the Central Valley. These field results complemented a larger effort to
105 evaluate the roles of water management and future climate change in altering life history patterns
106 of California steelhead, incorporating field studies, lab experiments, and modeling (Beakes et al.
107 2010; Satterthwaite et al. 2009; 2010; Collins et al. in prep). Our overall focus was to understand
108 the mechanisms underlying variability in growth rates and whether or not the rearing
109 environment predicts consequent life history pathways.

110 In laboratory experiments, we examined life history decisions in two steelhead
111 populations, one from the Central Coast DPS and one from the Central Valley DPS (Beakes et al.
112 2010). We reared age-0 fish with different treatments of food availability and determined their
113 selected life history at age 1 (smolt versus non-smolt) based on seawater challenges in the spring.
114 We observed a clear effect of body size on the smolting decision, with larger fish in both
115 populations more likely to survive in saltwater. We also observed a significant effect of
116 population, with Central Valley fish exhibiting a larger size threshold for adopting the emigration
117 pathway than Central Coast fish. Behavior and growth capacity also differed, with Central
118 Valley fish appearing to display risk-prone behavior and full exploitation of enhanced growth
119 opportunities, whereas Central Coast fish displayed risk-averse behavior and more moderate
120 growth under the same conditions (Beakes et al. 2010). These results suggested local adaptation
121 induced a divergence in ecological responses between the two populations.

122 In modeling studies using preliminary estimates of growth rates and size at age in these
123 systems, we developed predictions of life history patterns of female steelhead in Central Coast
124 (Satterthwaite et al. 2009) and Central Valley (Satterthwaite et al. 2010) populations, based on
125 estimated thresholds for optimal decisions during a particular time window as a function of
126 tradeoffs between future growth and survival. These tradeoffs result from the fecundity
127 advantage accrued by females that emigrate to the ocean and grow to large sizes versus the
128 potential survival advantage accrued by females that remain in freshwater for additional years,
129 either smolting at larger sizes, thereby increasing the probability of ocean survival, or adopting a
130 resident life history. For the Central Coast, the results suggested that, given the likely range of
131 variation in individual sizes and growth rates, the range of optimal decisions for a suite of
132 individuals includes a mix of life histories dominated by fish smolting at a range of ages. For the
133 highly regulated rivers of the Central Valley, we predicted a different composition of life history
134 pathways for the American River versus the Mokelumne River, consistent with their different
135 environments. For the American River, we predicted a dominance of one life history strategy,
136 emigration at age 1, even under a broad range of survival probabilities at different stages,
137 although very poor ocean survival combined with high river survival led to predicted residency.
138 In contrast, for the Mokelumne River, we predicted a mixed strategy, with the composition of
139 different phenotypes highly dependent on the survival scenarios used.

140 In the models, the early emergence and rapid growth rate of American River fish put
141 them far above the predicted threshold (given baseline survival assumptions) for smolting at their
142 first opportunity as opposed to waiting to mature or smolt at an older age. Because they were far
143 from this threshold, small to moderate movements of the threshold due to variation in survival
144 were unlikely to change the proportion of fish above versus below the threshold and thus were
145 unlikely to change the predicted distribution of life histories. By contrast, the later emergence
146 and slower growth rate of Mokelumne River fish, along with a higher variability in growth rates,
147 meant that there were fish on both sides of the threshold for smolting (given baseline survival)
148 and thus the proportions of different life histories were easily changed by even small changes in
149 survival. Larger changes (such as combining poor ocean survival with high river survival) were
150 sufficient to shift the thresholds enough that distributions became entirely on one side of the
151 threshold or the other, causing the predicted loss of a life history type. These results suggested
152 that the optimal life history pathway is a complex function of environmental conditions within
153 the rearing location as well as along the migratory corridor to the ocean and back to the natal
154 stream.

Methods

Study systems

On the coast, we sampled in Scott and Soquel creeks. Both are undammed, free flowing streams arising in the Santa Cruz Mountains and entering the Pacific Ocean over beaches that are regularly closed by sand bars in the summer and fall, creating small lagoonal estuaries. They have similar watershed areas, gradients, riparian vegetation, streambed geology, and hydrography (Table 1), with flows dependent on local rainfall patterns. The low diversity of the fish communities is typical of small coastal creeks, limited to steelhead, sculpin *Cottus* spp., Pacific lamprey *Lampetra tridentata*, three-spined sticklebacks *Gasterosteus aculeatus* and Sacramento suckers *Catostomus occidentalis*. Coho salmon *Oncorhynchus kisutch* occurred regularly in Scott Creek until recent years and historically occurred in Soquel Creek. A small conservation hatchery on Scott Creek produces steelhead that are released as age-0 smolts and largely migrate directly to the ocean, resulting in minimal interaction with naturally produced juveniles (Hayes et al. 2004).

Genetic analysis of steelhead along the California coast indicates a high concordance with geographic proximity (Garza et al. 2004; Aguilar and Garza 2006). Although Soquel Creek was not included in these studies, it is in the same genetic group as Scott Creek, which indicates that the two populations are likely to be genetically very similar

In the Central Valley, we sampled steelhead populations on the American and Mokelumne rivers. Both are highly regulated, snow-fed streams beginning high on the west slope of the Sierra Nevada at elevations over 3,000 m. Impassable dams block anadromous fish access to most of the historic, higher gradient spawning areas of both rivers, and steelhead are now constrained to very limited sections that differ radically from the natural rearing habitat (McEwan 2001). Although the two Central Valley rivers drain much larger watersheds, reach length of available rearing habitat is now comparable to that of the central coast creeks (Table 1). Stream sections available to steelhead in both systems are now highly urbanized and sediment starved, with degraded channels that are oversimplified (James 1997; Pasternack et al. 2004). Camanche Dam on the Mokelumne River and Folsom Dam on the American River block gravel delivery from upstream, and historic mining operations have resulted in depleted instream gravel storage, altering downstream riverbed complexity (James 1997; Merz and Moyle 2006).

186 Regulation of water releases from upstream reservoirs has dramatically altered the ecology of
187 both rivers, dampening the range of both flow and temperature and altering the timing of
188 seasonal patterns in physical factors such as flow and biological factors such as prey delivery.
189 Fish communities in Central Valley rivers are far more diverse than those of the coast and
190 include a large number of non-native species (Table 1).

191 Genetic analysis suggests that steelhead throughout the Central Valley are relatively
192 closely related and that southern populations within the DPS are similar to northern populations,
193 potentially a consequence of extensive incorporation of Eel River fish in the broodstock of
194 Nimbus Hatchery on the American River (Garza and Pearse 2008). Based on the geographic
195 proximity of the American and Mokelumne rivers and the sharing of broodstock between the two
196 systems, we presumed a high degree of genetic similarity between these populations. However,
197 the introduction of fish from the Eel River and consequent introgression of hatchery fish into
198 natural populations may have resulted in divergence of current genotypes from those historically
199 present in the two rivers.

200

201 *Physical habitat data*

202 We monitored water temperatures in each system with TidBit recorders (Onset Corp.)
203 placed in several locations and recording every 30 min. We obtained additional temperature data
204 for years prior to our study from the California Department of Fish and Game (CDFG) for the
205 American River and from the East Bay Municipal Utility District (EBMUD) for the Mokelumne
206 River. For the coastal streams, temperature differences between upstream and downstream sites
207 were minor. We used temperature data from upstream sites because they included a longer time
208 span than our downstream sites. For both of the Central Valley rivers, summer water
209 temperatures increased downstream of the dams that delimit the boundary of rearing areas for
210 steelhead. We used temperatures recorded at a mid-point (Watt Avenue on the American River
211 and Mackville Road on the Mokelumne River) within the available rearing section to illustrate
212 general seasonal patterns.

213 We obtained flow data from the USGS National Water Information System web site for
214 California streams (<http://waterdata.usgs.gov/ca/nwis/nwis>). We used long term data for Soquel
215 Creek at the town of Soquel (located near the mouth), the American River at Fair Oaks, and the
216 Mokelumne River below Camanche Dam to calculate the mean proportion of annual water flow

217 occurring in each month. USGS data were not available for Scott Creek, so we assumed that the
218 monthly flow patterns were similar to those occurring on nearby Soquel Creek.

219

220 *Biological data*

221 Shallow depths and generally low flows in the small coastal systems allowed us to derive
222 quantitative estimates of fish density. We conducted multiple pass depletion electrofishing in
223 June, October, and December of 2006 and 2007, and June of 2008 at each of four 100m long
224 sites in each watershed (Figure 1). We placed block nets at the upper and lower ends of a site
225 prior to sampling to prevent entry and exit of fish during sampling. We estimated the total
226 abundance of steelhead from the number of fish captured on each of three passes using the
227 formula of Pollock and Otto (1983).

228 We could not conduct such quantitative sampling in the larger rivers of the Central
229 Valley. We used a variety of methods, including seining, boat electrofishing, and hook and line
230 sampling to capture steelhead at three sites in the American River and two sites in the
231 Mokelumne River (Figure 1). Sampling was conducted on an opportunistic basis throughout
232 2006, 2007, and 2008. We supplemented these collections with prior data from seining and
233 electrofishing conducted on the American River (CDFG) and on the Mokelumne River
234 (EBMUD). We excluded all hatchery-origin fish (denoted by adipose fin clips) from analyses;
235 thus, all reported results refer to juveniles derived from in-river spawning. We compiled size
236 data across years to examine annual patterns in length frequencies.

237 We tagged all fish > 65 mm FL with a Passive Integrated Transponder (PIT, Allflex
238 Corp.) tag, allowing recognition of individuals at subsequent recapture. Recaptures at the eight
239 coastal sites were common and provided a wealth of information on growth patterns. For the
240 Central Valley systems, we supplemented our recaptures with additional tag and recapture data
241 from CDFG and EBMUD for the American River and Mokelumne River, respectively. We
242 computed growth rates as increase in fork length (mm) per day. We divided growth estimates
243 into two seasons, summer/fall, with measurements taken between May and December, and
244 winter/spring, with measurements between December and May. We excluded growth data for
245 fish tagged in one season and only recaptured in subsequent seasons. We compared growth data
246 separately for presumed age-0 and age-1+ fish, with age categories based on visual inspection of
247 length-frequency modes at each site and scale analyses. Mean growth rates among streams were

248 compared using analysis of variance (ANOVA), with post-hoc Tukey tests ($\alpha = 0.05$) for
249 individual comparisons.

250 In addition to direct estimates of growth from recaptured tagged fish, we evaluated
251 growth rates based on the progression of length frequencies over time. This method is indirect
252 and is influenced by selective mortality and variation in age composition if cohorts overlap in
253 size. Therefore, we applied length-frequency analysis only to age-0 fish, which generally
254 comprised a discrete mode compared to older fish and could be readily tracked over time.
255 Because cohorts older than age-0 overlapped in size distributions, we were not able to estimate
256 growth rates of age-1+ fish from change in mean size over time. As before, we estimated growth
257 within two seasons, summer/fall and winter/spring. For the coastal streams, we sampled three
258 times per year, in June, September/October, and December. We regressed the mean lengths of
259 age-0 fish on time for the three times each year to estimate summer/fall growth, and from
260 December to the following June to estimate winter/spring growth. Data were available for 2006
261 and 2007 in both creeks. For both Central Valley rivers, additional length data were available for
262 years prior to our study. For the summer/fall season, we had sufficient data for analysis for 5
263 years (2001, 2002, 2004, 2006, 2007) on the American River and for 14 years (1995-2008) on
264 the Mokelumne River. For the winter/spring season, we had sufficient data for only 1 year on
265 the American River (2007) but for 11 years on the Mokelumne River (1995-2007, except 1999
266 and 2003). To estimate growth, we regressed fish length on time during the respective seasons,
267 using the mean lengths of fish during 10-day intervals; i.e. each interval was represented by a
268 single point consisting of the mean of all fish caught during that interval. This approach reduced
269 any bias associated with variable sampling effort over time. We used the slope of the regression
270 as an estimate of daily growth in length.

271 We aged random samples of fish from each system from scale annuli following the
272 methods of Davis and Light (1985). In addition, we were able to determine age of some PIT-
273 tagged fish based on recaptures in subsequent years. These individuals were first tagged at a size
274 believed to correspond to the age 0 cohort. We assigned fish to age 1 in March following their
275 birth year.

Results

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277

278 *Physical habitat patterns*

279 Seasonal patterns in water temperature differed among the four streams (Figure 2).
280 Although the annual cycle in the two coastal systems was similar, Scott Creek tended to have
281 temperatures about 1.4°C cooler in the summer and about 1.3°C warmer in the winter compared
282 to Soquel Creek. The highest temperatures occurred in August, with a daily mean of 15.3°C in
283 Scott Creek and 16.5°C in Soquel Creek, and the coolest temperatures were in January, with
284 means of 7.3 and 5.6°C, respectively. In the Central Valley, temperature patterns differed
285 markedly between the two rivers. In the American River, the warmest temperatures were in
286 August, with a daily mean of 19.2°C, whereas in the Mokelumne River the warmest temperatures
287 were in September, with a mean of 15.2°C. The coolest temperatures were in January on the
288 American River, with a mean of 9.1°C, and in February on the Mokelumne River, with a mean of
289 10.2°C. Daily maximum temperatures in the summer regularly exceeded 20°C on the American
290 River but did so only rarely on the Mokelumne River or the coastal streams.

291 The annual pattern of water delivery differed dramatically between the free flowing
292 creeks of the central coast and the regulated rivers of the Central Valley (Figure 2). Flow rates
293 on Soquel Creek, which we presumed to provide an appropriate proxy for Scott Creek,
294 demonstrated the expected pattern for central coast streams, with flashy flows associated with
295 rainstorms in the winter and gradually decreasing flows with the onset of the dry season. Soquel
296 Creek received on average 65% of its annual flow during the winter months of January,
297 February, and March, and only 2.3% during the summer from July through September. In
298 contrast, flows on the Central Valley rivers were more evenly distributed throughout the year,
299 matching regulated releases from reservoirs above the dams. The American River received on
300 average 34% of its annual flow during the 3 winter months and 18% during the 3 summer
301 months. Similarly, the Mokelumne River received on average 31% of its flow during the winter
302 months and 20% during the summer.

303

304 *Size distributions*

305 We observed striking differences in the size-frequency distribution of steelhead between
306 the Central Coast and Central Valley, as well as between the two rivers within the Central Valley

307 (Figure 3). In spring, all four streams had a large mode of age-0 fish. In the coastal streams
308 there was also a small mode of older fish in a size range of about 100 to 200 mm FL. Steelhead
309 in the American River largely comprised a single year class, with minimal evidence of fish older
310 than age 0. Excluding mature fish returning from the ocean, our dataset included 4,257 fish
311 measured in the American River from 2001 to 2008. Of these, only two fish, caught in July at
312 sizes of 318 and 360 mm FL, were presumed to be older than age 0. They were likely age-1 fish
313 that did not migrate in the spring with the rest of the age-0 cohort. In contrast, older fish were
314 common on the Mokelumne River, with a wide range of sizes present, suggesting multiple age
315 classes and a large proportion of fish with a resident life history. In the fall, growth differences
316 among the four streams were evident in the size distribution of the age-0 cohort. Slow growth of
317 age-0 coastal fish resulted in only a minor progression of sizes. In the American River, the
318 single mode comprised of age-0 fish was retained but shifted to much larger sizes due to
319 extremely rapid growth rates. All cohorts showed moderate growth in the Mokelumne River.
320 Patterns observed in the fall were largely maintained in the subsequent winter size-frequency
321 distributions.

322

323 *Growth estimates*

324 Indirect growth estimates based on regressions of age-0 sizes over time suggested major
325 differences between the coastal and Central Valley streams in both absolute growth and patterns
326 by season (Figure 4). The two coastal streams had similar rates, with an average of 0.11 mm/d
327 and 0.14 mm/d in summer/fall on Scott Creek and Soquel Creek, respectively. Growth estimates
328 for the Central Valley populations far exceeded those of the coastal populations. On the
329 American River, summer/fall growth rates were about 10 times faster than on the coast, with an
330 estimated mean of 1.12 mm/d. On the Mokelumne River, growth rates in summer/fall were
331 about 5 times faster than on the coast, with a mean among 14 years of 0.60 mm/d. Seasonal
332 patterns also differed between the coast and Central Valley. Age-0 growth rates approximately
333 doubled during the winter/spring season on the coast, with estimated means of 0.24 mm/d and
334 0.21 mm/d on Scott Creek and Soquel Creek, respectively. In contrast, growth in the Central
335 Valley was slower in winter/spring than in summer/fall, with an estimate of 0.61 mm/d for the
336 one year of data for the American River and a mean of 0.46 mm/d for the 11 years of data on the
337 Mokelumne River.

338 Direct growth estimates of age-0 fish based on recaptures of PIT-tagged individuals were
339 generally similar to those estimated from size progressions over time (Figure 4). On the coast,
340 summer/fall growth averaged 0.05 mm/d on Scott Creek and 0.07 mm/d on Soquel Creek. In the
341 winter/spring season these rates increased to 0.20 and 0.18 mm/d, respectively. For the
342 American River we did not have recaptures in winter/spring, but summer/fall growth rates of
343 age-0 tagged fish averaged 0.98 mm/d. On the Mokelumne River, growth rates of age-0 PIT-
344 tagged fish averaged 0.81 mm/d in summer/fall and 0.44 mm/d in winter/spring. ANOVAs
345 comparing age-0 growth in summer/fall indicated significant differences among streams ($F_{3,400} =$
346 $754.45, P < 0.001$), with the American River growth faster than that of the Mokelumne River,
347 which in turn was faster than for the two coastal sites, which did not differ from each other (post-
348 hoc Tukey tests). Likewise, growth during the winter/spring differed among streams ($F_{2,116} =$
349 $29.5, P < 0.001$), with Mokelumne River growth faster than the two coastal sites, which did not
350 differ from each other (post-hoc Tukey tests).

351 Growth rates of age-1+ fish calculated from recaptured PIT-tagged fish were generally
352 low in all of the streams where older fish occurred (older fish occurred at only very low
353 frequency on the American River). On the coast, growth rates of age-1+ fish were similar to
354 those of age-0 fish, with means of 0.05 mm/d and 0.03 mm/d on Scott Creek and Soquel Creek,
355 respectively, in summer/fall and 0.26 mm/d and 0.08 mm/d on Scott Creek and Soquel Creek in
356 winter/spring (Figure 4). On the Mokelumne River, older fish displayed a marked decrease in
357 growth compared to the age-0 cohort, with means of 0.20 mm/d in summer/fall and 0.14 mm/d in
358 winter/spring. ANOVAs comparing age-1+ growth in summer/fall indicated significant
359 differences among streams ($F_{2,521} = 53.5, P < 0.001$), with the Mokelumne River growth faster
360 than for the two coastal sites, which did not differ from each other (post-hoc Tukey tests).
361 Growth during the winter/spring again differed among streams ($F_{2,62} = 11.5, P < 0.001$), but in
362 this season Scott Creek fish grew faster than Mokelumne River and Soquel Creek fish, which did
363 not differ from each other (post-hoc Tukey tests).

364

365 *Age estimates*

366 Age estimates generally concurred with growth estimates (Figure 5). Ages of fish from
367 the two coastal creeks spanned a range from 0 to 6 years. For the American River, all scales
368 examined, which included some of the largest fish captured, were assigned to age 0. After

369 March 1, our arbitrary cutoff designating when fish advanced to age 1, no large fish were
370 captured on the American River with the exception of two individuals (scales not available),
371 suggesting nearly all of an annual cohort emigrated during the spring following their birth year.
372 For the Mokelumne River, age estimates ranged from 0 to 4. Some of the large fish captured in
373 the winter (Figure 3) may have been adults returning from the ocean. However, the broad range
374 of sizes and ages for fish captured from spring through fall on the Mokelumne River indicated a
375 large proportion of fish that adopted the resident life history and were able to attain a large size
376 entirely in freshwater. Of 43 fish estimated to be at least 2 years old, 28 appeared to have
377 spawned, based on checks present on scales, confirming their status as residents. The larger
378 sizes of older fish on the Mokelumne River compared to the coast reflected their much faster
379 growth rates.

Discussion

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Juvenile steelhead rearing in Central Coast creeks and Central Valley rivers experience radically different environmental conditions. Physical factors of flow and temperature on the Central Coast largely exhibit high seasonal variation driven by solar input and rain patterns. Water temperatures on the coast are primarily controlled by air temperature and can range from lows of $< 5^{\circ}\text{C}$ in the winter to near 20°C in the summer, although summer temperatures are largely moderate due to the coastal climate. In the Central Valley, historical water temperatures prior to dam construction would have likely exceeded those of Central Coast streams in the summer and dropped to comparable lows in the winter, also following air temperatures, although snowmelt presumably moderated rising temperatures in late spring (Williams 2006). Additionally, steelhead would have had access to cooler water in stream sections now blocked by reservoirs. At present, however, temperatures are controlled by dam releases of reservoir water, with a greatly moderated range. Temperatures in the winter rarely fall below 8°C . In the summer, temperatures depend on the amount of water released and the thermal structure of the reservoir. For the American River, temperatures can reach daily maxima of 23°C in a dry year with minimal water released, but only 18°C in a wet year with higher releases (U.S. Dept. Int. 2008). For the Mokelumne River, water released from below the reservoir thermocline results in more moderate summer temperatures than on the American River. Within both Central Valley rivers, summer temperatures increase rapidly downstream of the reservoir due to high air temperatures.

Flow rates on the coast are flashy in the winter and slowly decrease after the rainy season to minimal levels in the fall. On the Central Valley rivers, flow rates depend on dam releases and variability is greater among than within years. In a dry year, flow rates may be relatively constant throughout the year, but in a wet year releases will be increased to lower reservoirs as needed to create storage space for runoff for future flood protection downstream of the dams. Historical flow patterns would have been influenced by winter storms and rainfall, similar to the coast, but also by melting snowpack in the upper watersheds during spring and early summer, not a factor on the coast. Snowmelt is still a factor in the Central Valley, but greatly dampened by flow regulation.

410 Additional habitat differences between the Central Coast and Central Valley include
411 substrate composition, geomorphology of the streams, riparian structure and canopy, and aquatic
412 community composition. Central Coast fish communities are depauperate, particularly in the
413 upper sections of the watershed. In contrast, in the Central Valley steelhead encounter a diverse
414 community of potential competitors and predators, including introduced species (e.g., striped
415 bass *Morone saxatilis*, largemouth bass *Micropterus salmoides*, smallmouth bass *M. dolomieu*)
416 with a high capacity for consumption (Johnson et al. 1992; Tabor et al. 2007). In addition to the
417 major contrasts in habitat, Central Coast steelhead can emigrate directly into the ocean, whereas
418 anadromous Central Valley steelhead have a much longer migration corridor upon emigration
419 from rearing habitats (Table 1). Once anadromous fish have entered the ocean, whether there is
420 any spatial segregation among populations is virtually unknown. Large scale bottom-up
421 processes driving interannual variability in productivity are likely to be similar for Central Coast
422 and Central Valley populations, although differences in timing of ocean entry and fish size may
423 confer differences in initial mortality risk.

424 The combined suite of natural and anthropogenic environmental differences presumably
425 plays a major role in the marked biological contrasts of the two steelhead DPSs compared in this
426 study. Growth rate differences are particularly striking. In the summer/fall, growth on the
427 Central Coast is slow, negatively density-dependent and decreases with body size (Hayes et al.
428 2008; Sogard et al. 2009; this study). Other coastal creeks in California also have relatively poor
429 growth of juvenile steelhead during the summer (Harvey et al. 2005; Boughton et al. 2007;
430 McCarthy et al. 2009), presumably a consequence of low food availability during the low flows
431 of the dry season. Harvey et al. (2005) manipulated stream flow in a northern California creek
432 and found that fish in control stream sections grew 8.5 times faster than those in sections with
433 reduced flows. In a southern California creek, specific growth in weight was near 0 in control
434 groups (0.038 d^{-1}) but rose to 2.28 d^{-1} for groups supplemented with additional food (Boughton
435 et al. 2007). Summer growth rates that were 10 (American River) to 5 (Mokelumne River) times
436 faster than on the coast suggest few constraints on growth, particularly for the American River
437 population. Both Central Valley rivers had substantially higher abundances of invertebrate prey
438 than the coastal creeks (Collins et al. unpublished data), in part attributable to the delivery of
439 pelagic prey from reservoirs behind the dams. For example, juvenile steelhead in the
440 Mokelumne River consume large numbers of cladocerans, a prey item never observed in diets of

441 coastal fish (Merz 2002; Collins et al. unpublished data). Although total invertebrate densities
442 in the drift are generally higher on the Mokelumne River than on the American River, the small
443 size of cladocerans may make them of lower quality than the insect taxa that dominant drift
444 communities and steelhead diets on the American River (Merz and Vanicek 1996; Collins et al.
445 unpublished data). In addition, the warmer temperatures on the American River presumably also
446 enhance growth rates. For the American River's hatchery strain (Nimbus), growth rates at 19°C
447 were 1.3 to 1.7 times faster than growth at 15 or 11°C (Myrick and Cech 2005). We were not
448 able to quantify densities on the two rivers, but lower densities of steelhead in the American
449 River may also contribute to their rapid growth by reducing competition.

450 The two DPSs also differed in the seasonality of growth, with faster growth in the
451 winter/spring than in the summer/fall in the coastal creeks and the opposite pattern in the Central
452 Valley rivers, although winter growth rates in the Central Valley still greatly exceeded those on
453 the coast. On the coast the seasonal difference likely reflects the increased delivery of prey as
454 flows increase with winter storms. In the Central Valley, reduced winter growth rates are likely
455 a function of lower temperatures, as abundances of drift invertebrates remain high (Collins et al.
456 unpublished data).

457 In addition to the environmental factors underlying foraging opportunity in the different
458 systems, there are likely to be local adaptation effects influencing inherent growth capacity in the
459 two DPSs. In common-garden laboratory experiments, growth rates of a Central Coast
460 population (Scott Creek, Monterey Bay Salmon and Trout Project [MBSTP] hatchery) were
461 significantly slower than those of a northern Central Valley population (Battle Creek, Coleman
462 National Fish Hatchery), particularly under enhanced opportunities of warmer temperatures
463 (Beakes et al. 2010). Behavioral differences between the two populations were evident, with the
464 Central Coast fish appearing to be risk-averse and the Central Valley fish appearing to be risk-
465 prone, aggressive feeders that rarely used available shelters (Beakes et al. 2010). Because the
466 Coleman spawner population comprises a mix of hatchery produced and natural adults (although
467 the grand-parentage of natural adults is unknown), the risk-prone behavior of the juveniles may
468 reflect selection for success in a hatchery environment, whereas the use of only wild adults in
469 spawning for the MBSTP hatchery (although again grand-parentage of natural adults is
470 unknown) suggests less of a hatchery influence on behavior. The high similarity of growth in the
471 wild for Scott Creek (possible hatchery influence) and Soquel Creek (hatchery influence limited

472 to occasional strays) in this study suggests that the inherent growth capacity of the Scott Creek
473 fish reflects that of the DPS. The muddled ancestry of the American River and Mokelumne
474 River populations, in contrast, makes it difficult to determine if their faster growth rates
475 compared to Central Coast populations are solely due to environmental feeding opportunities or
476 if they reflect selection for rapid growth in a hatchery environment, or if wild Central Valley
477 populations have experienced natural selection for faster growing genotypes even in the absence
478 of hatchery influences.

479 The two DPSs also differ in size at emigration. Steelhead in the Central Coast creeks
480 emigrate primarily at a size of < 190 mm (Shapovalov and Taft 1954; Bond et al. 2008),
481 although some smaller downstream migrants may oversummer in coastal estuaries, where rapid
482 growth allows them to attain sizes of over 200 mm prior to final emigration to the ocean (Bond et
483 al. 2008). In contrast, Central Valley fish emigrating to the ocean appear overall to leave at a
484 size of around 200-250 mm, with minimal variability among years or populations (U.S. Dept.
485 Int. 2008; Williams 2006). Likewise, emigrating steelhead smolts captured at salvage facilities
486 generally range from 226-250 mm (U.S. Dept. Int. 2008). American River smolts even range
487 over 300 mm, as evidenced by the size of age-0 fish in December (Figure 3).

488 In addition to major differences in growth rates, there are large differences in life history
489 expression among the four streams. Coastal streams are largely represented by immature fish
490 that are likely the progeny of anadromous parents. Age-1 fish are present but in much lower
491 numbers than age-0 fish, suggesting either high overwinter mortality or high rates of emigration
492 at age 1. The latter is unlikely due to the small size and thus poor survival probability of age-1
493 emigrants (Ward et al. 1989; Bond et al. 2008), although growth in the lagoon may boost the
494 effective size of young migrants (Hayes et al. in press), and Shapovalov and Taft (1954) reported
495 nontrivial returns of fish that emigrated at age 1 despite their presumably low ocean survival.
496 Fish aged 2 and older are also present, but may be represented largely by early maturing males,
497 which then remain in the stream. At one site on Soquel Creek (Ashbury) there is a downstream
498 waterfall that was a full barrier to migrating anadromous adults prior to 1989, when it was
499 dynamited, and is now an intermittent barrier. Here the steelhead population is comprised of a
500 wide range of sizes, and multiple recaptures over several years suggests a large proportion of
501 resident fish. At the other Soquel Creek sites and all of the Scott Creek sites, which have no
502 apparent migration barriers, presumed residents are few in number. On the American River, the

503 steelhead population consists of very nearly a single cohort, with almost all members emigrating
504 after their first winter, at age 1. Extremely rapid growth rates result in a large size at the time of
505 emigration, with a presumably much greater likelihood of survival in the marine environment
506 compared to age-1 emigrants from the coastal populations. On the Mokelumne River, there is a
507 large contingent of older fish presumed to be residents based on their body size. We captured
508 large numbers of fish on the Mokelumne River that exceeded 300 mm and thus were larger than
509 most Central Valley emigrants. These fish displayed the darker coloration typical of the resident
510 life history, and many had spawning checks on their scales.

511 The life history pathways exhibited by steelhead in the four systems were accurately
512 predicted for females by our state-dependent models (Satterthwaite et al. 2009, 2010) and
513 tracked the large variation in growth rates among systems in the expected way. As discussed
514 above, we infer that the coastal creeks were dominated by anadromous fish smolting at age 2,
515 along with some younger and some older emigrants. Examining the winter size-frequencies in
516 Figure 3 along with the size at age in Figure 5, we see that the vast majority of age-0 coastal fish
517 are below the putative 100-110 mm smolting threshold in December (Satterthwaite et al. 2009;
518 their Figure 3), whereas most but not all age-1 fish are above it. Thus, the model predicts a
519 predominance of age-2 smolts on the coast, but with a mix of smolt ages since some age-2 fish
520 are too small to initiate smolting and predicted to do so at age 3, and the largest observed age-1
521 fish would also be predicted to smolt. By contrast, every American River fish sampled in the
522 winter was over 200 mm (Figure 3), suggesting that all or nearly all age-0 fish in winter are
523 larger than the predicted 130-140 mm threshold size (Satterthwaite et al. 2010; their Figure 5)
524 and leading to the prediction of a population consisting entirely of anadromous fish smolting in
525 the spring just after they become age 1. Finally, from Figure 4 we see that the mean growth rate
526 of Mokelumne River age-0 steelhead was around 0.4-0.5 mm/day in winter/spring and 0.6-0.8
527 mm/day in summer/fall. At these growth rates, the latest emerging fish would be predicted to
528 adopt a resident life history (Satterthwaite et al. 2010; their Figure 6) with the remainder
529 smolting. In addition, individual fish on the Mokelumne River displayed a wide range of growth
530 rates (0.034 - 1.17 mm/day for age-0 fish in winter/spring), and residency would be predicted for
531 the slower growing fish within this range.

532 The Mokelumne River population presents the largest discrepancy from model
533 predictions, since the model predicts a mixture of anadromous and resident fish but with

534 anadromous fish dominating, given baseline survival assumptions. This may be inconsistent with
535 the large number of residents inferred above. In addition, Del Real et. al (in press) demonstrated
536 with acoustically tagged fish that downstream migration was rare; 74% of natural origin fish
537 were presumed to be residents based on their fine scale movements within the study reach.
538 However, as mentioned in the introduction, the model's predicted balance between residents and
539 anadromous fish is sensitive to highly uncertain survival estimates, and it is entirely possible that
540 the baseline survival assumptions do not adequately describe real conditions on the Mokelumne
541 River. Future field work estimating survival in the Mokelumne River would be helpful in
542 determining the extent to which the model can successfully predict the balance between
543 residency and anadromy.

544 The large variability in growth rates and life history expression found in this study
545 provides additional testament to the remarkable plasticity of *O. mykiss* and the species' ability to
546 adapt to different freshwater environments while inhabiting a common marine environment (for
547 the anadromous individuals). Management decisions affecting the growth environment,
548 including habitat availability, food delivery via drift, and physical conditions such as
549 temperature, can potentially alter the natural distribution of life history patterns exhibited in
550 steelhead populations. Likewise, shifts in the probability of mortality along the migration
551 corridor can change the likelihood of expression of different life histories. Our results confirm
552 the contrast between two DPSs of steelhead in California but also demonstrate major differences
553 in patterns within a single DPS.

Acknowledgments

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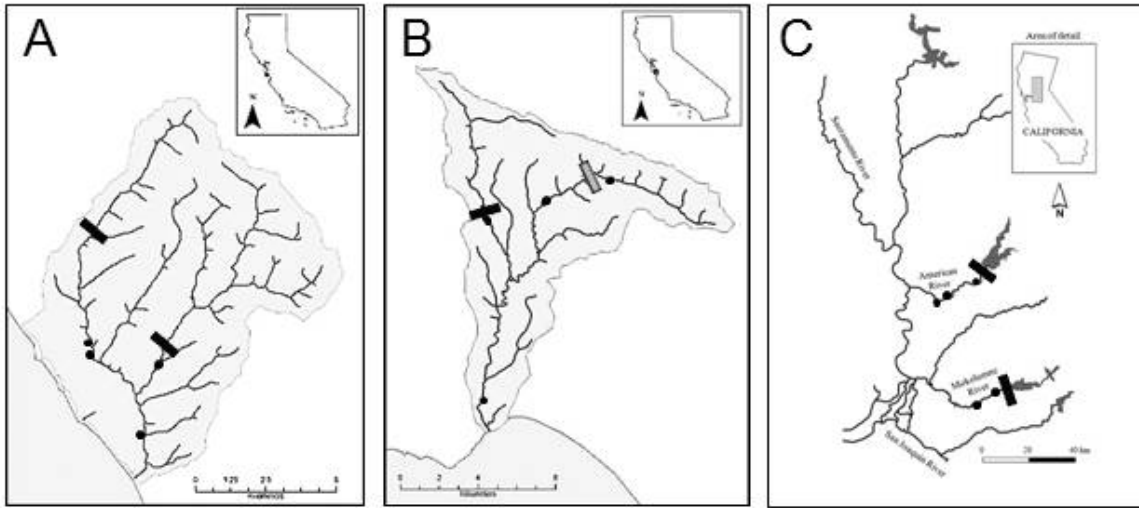
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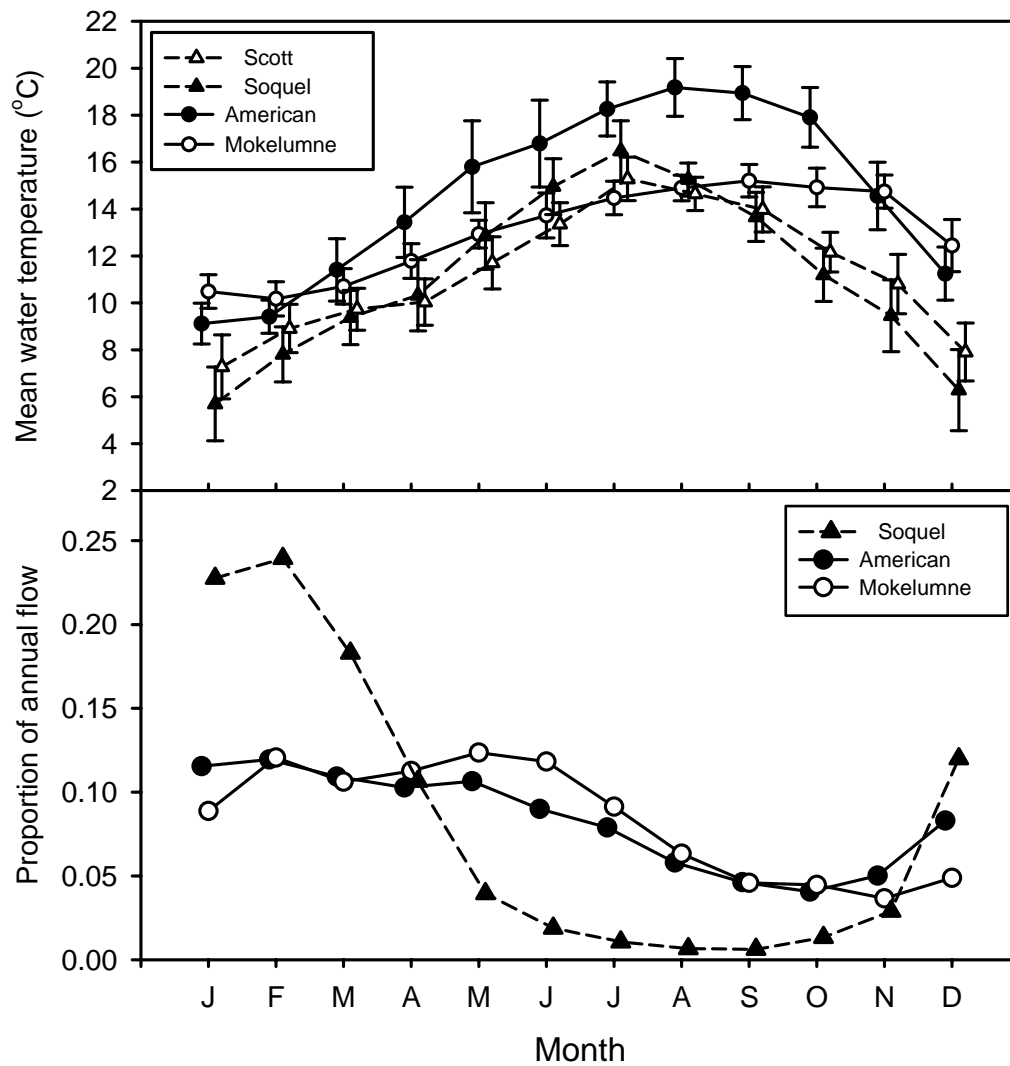
694 Table 1. Habitat characteristics of the four study systems. Data sources include *Recovery Plan*
 695 *for Evolutionarily Significant Unit of Central California Coast Coho Salmon* Public Draft
 696 Version: March 2010 (http://swr.nmfs.noaa.gov/recovery/Coho_Recovery_Plan_031810.htm)
 697 and the *Central Valley public draft recovery plan*
 698 (<http://swr.nmfs.noaa.gov/recovery/centralvalleyplan.htm>).
 699

Variable	American River	Mokelumne River	Scott Creek	Soquel Creek
Drainage area (km ²)	5120	1624	78	110
Mouth distance to ocean (km)	182	137	0	0
Mean annual total discharge (m ³)	3.4 x 10 ⁹	6.4 x 10 ⁸	3.4 x 10 ⁷	3.8 x 10 ⁷
Length of potential rearing habitat (km)	37	41	26	51
Maximum gradient in rearing habitat (%)	0.1	0.1	4.5	5.0
Riparian vegetation - primary	Valley foothill riparian/urban	Agriculture	Conifer forest	Conifer forest
Riparian vegetation - secondary	Valley oak woodland	Valley oak woodland	Shrub	Shrub
Mean proportion of flow from snowmelt (%)	40	10	<1	<1
Fish diversity – native species	10	12	6	6
Fish diversity – exotic species	20	26	0	0

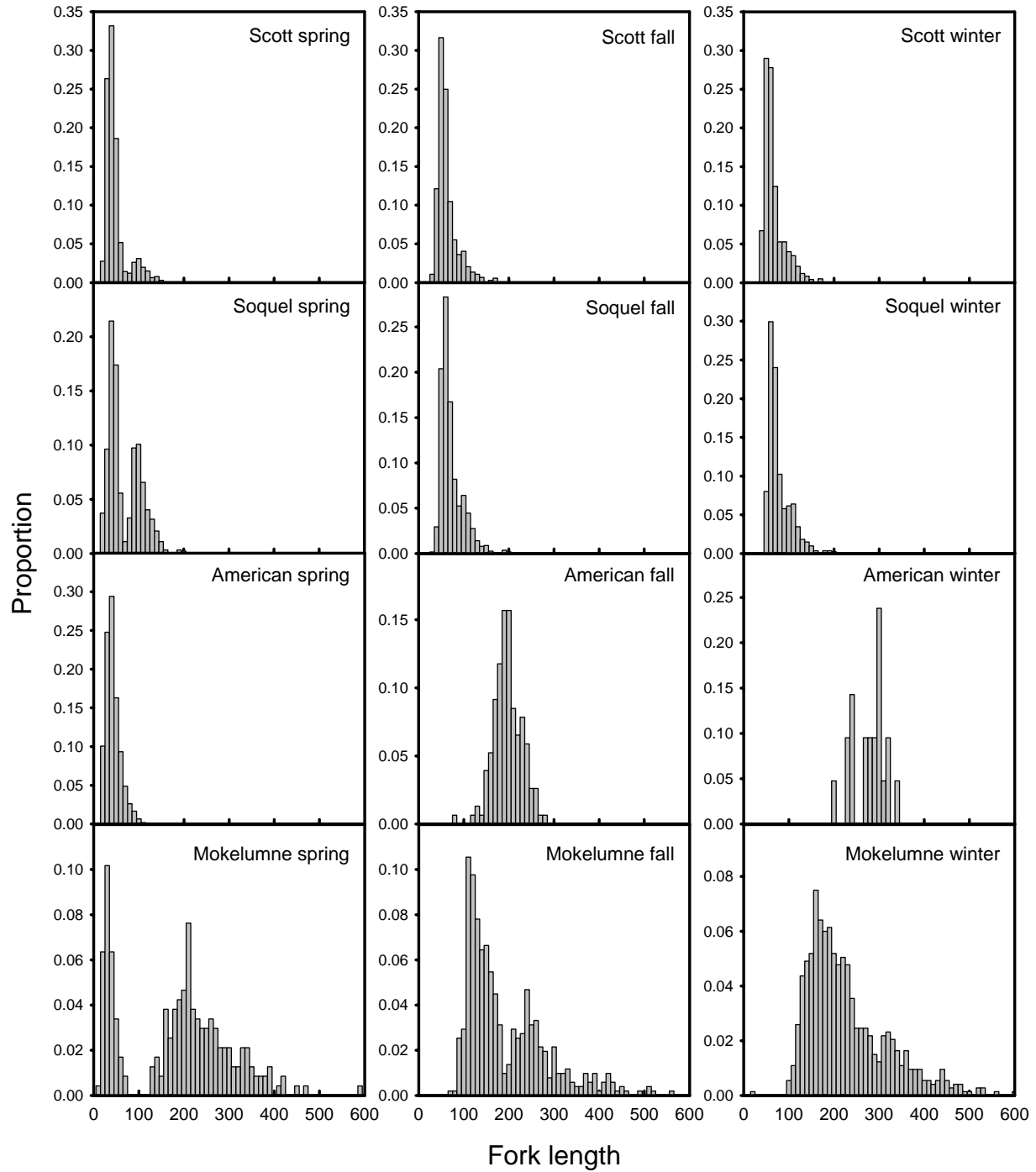
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 702 Figure 1. Location of sampling sites on two coastal creeks (A, Scott; B, Soquel) and two Central
 703 Valley rivers (C, American and Mokelumne). Black rectangles are impassable falls or dams, gray
 704 rectangle (Soquel Creek) is an intermittent barrier, and black circles are sampling sites.



705
 706 Figure 2. Annual cycle of water temperature and flow on the study streams. Temperatures are
 707 the monthly means (\pm S.D.) over multiple years (Scott Creek and Soquel Creek 2006-2009;
 708 American River 2001-2008; Mokelumne River 1997-2004). Flow data are the proportions of
 709 annual flow occurring each month, based on multiple years (Soquel Creek and American River
 710 1951-2010; Mokelumne River 1993-2010). Flow data were not available for Scott Creek.



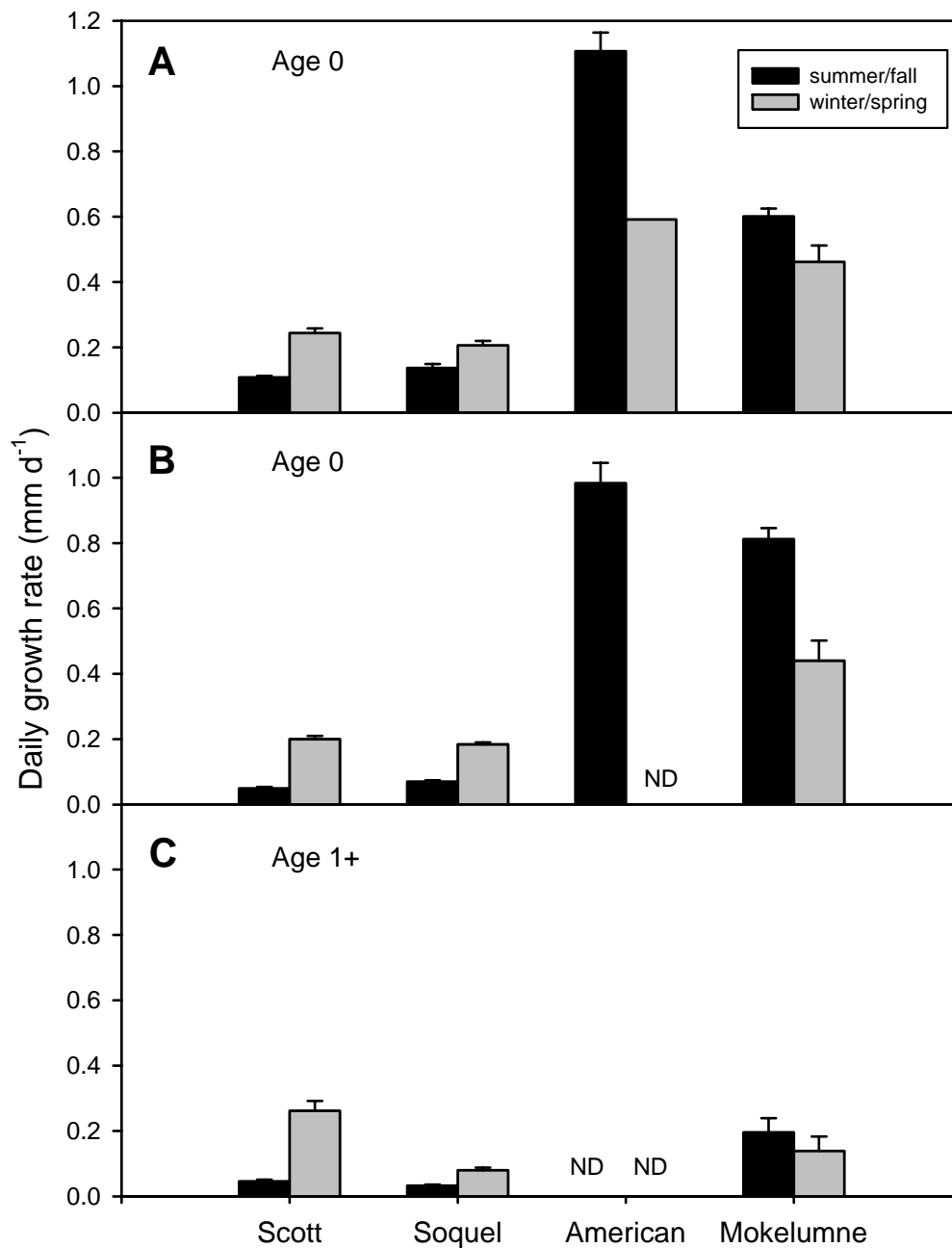
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713 Figure 3. Length-frequency patterns of *Oncorhynchus mykiss* in four California streams during

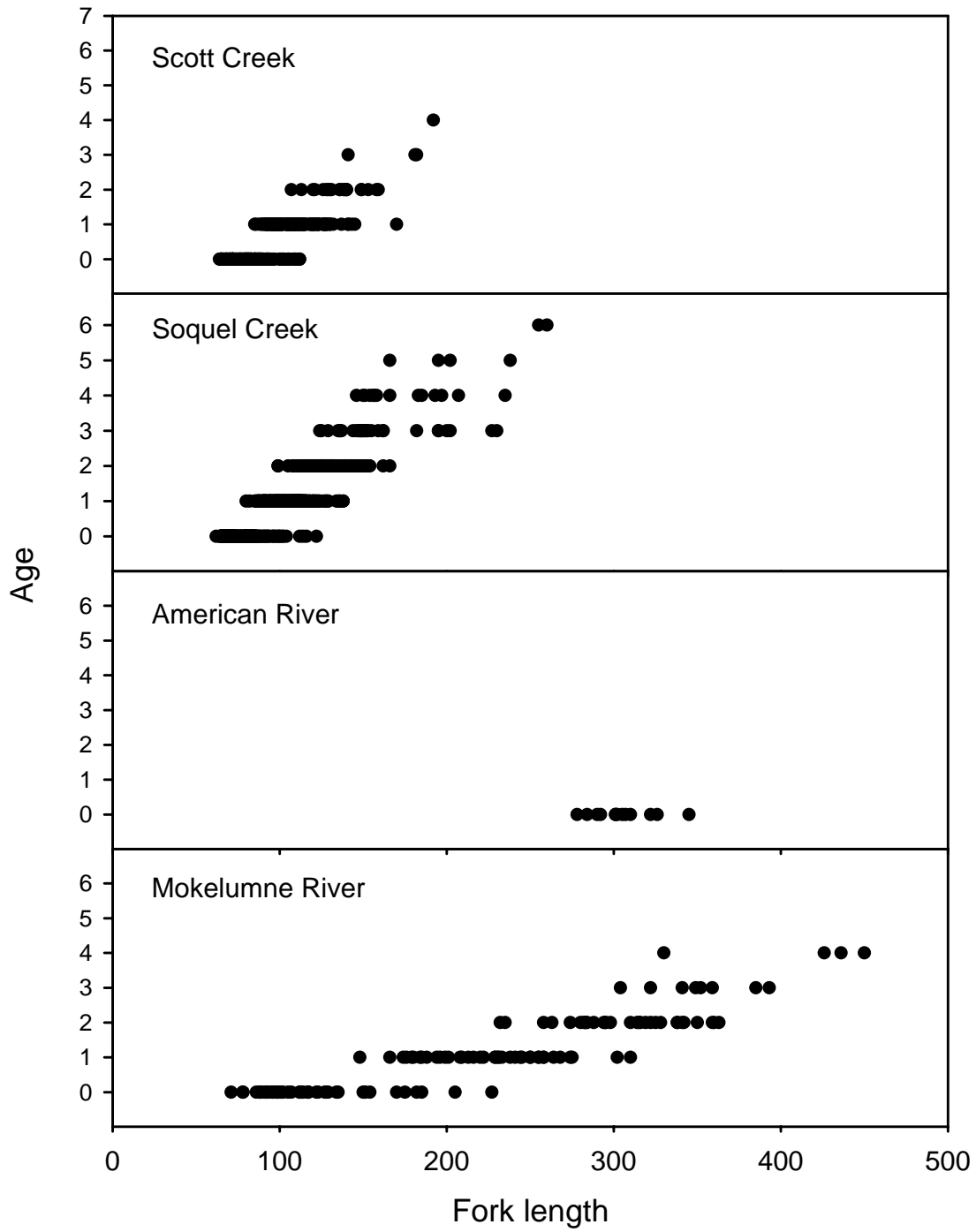
714 seasons of spring (sampling in May-June), fall (sampling in September-October), and winter

715 (sampling in December-January). Bars indicate proportion of fish in each 10 mm size class.



716

717 Figure 4. Estimated growth rates (mean + S.E.) of *Oncorhynchus mykiss* for age-0 fish (A and
 718 B) and age-1+ fish (C) in four California streams. A) estimates derived indirectly from length-
 719 frequency progressions over time. B, C) estimates derived directly from recaptures of PIT-
 720 tagged fish. Results were divided into two seasons, summer/fall (May-December) and
 721 winter/spring (December-May). ND = no data available.



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724 Figure 5. Ages of *Oncorhynchus mykiss* in four California streams based on scale analyses or

725 recaptures of fish initially PIT-tagged at age 0. Fish were assigned to age 1 in March following

726 their birth year.